

## SETTLEMENT AGREEMENT

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This Agreement settles and resolves the issues in Steven L. et al. v. Kern County et al., Civ. No. CV-F-83-189 EDP, ("the lawsuit") a civil rights lawsuit filed May 5, 1983, in the United States District Court, Eastern District of California challenging the legality of certain conditions of confinement at the Kern County Juvenile Hall ("Juvenile Hall") and Kern County Youth Facility ("KYF"). Agreement is entered into by counsel for the plaintiffs in the lawsuit on behalf of the named plaintiffs and all juveniles who are now, or who in the future may be confined in Juvenile Hall or KYF ("Plaintiffs") and by Kern County, California, by and through its duly elected Board of Supervisors; Bill G. Williamson, in his capacity as Chief Probation Officer of Kern County, California; Gary Boleschka, in his capacity as the Director of Juvenile Hall; and James R. Webb, in his capacity as Director of KYF ("Defendants").

The lawsuit alleges, among other things, that Defendants' practices and policies regarding access to attorneys, mail censorship, use of isolation and other disciplinary practices violates the rights of juveniles confined at Juvenile Hall and at KYF as guaranteed to them by the Fourteenth Amendment to the United States Constitution and Section 1983 of Title 42 of the United States Code. On January 31, 1986 the United States District

Court abstained from exercising jurisdiction in the lawsuit, pending a definitive state adjudication of the issues presented. The plaintiffs appealed the United States District Court's decision to abstain to the United States Court of Appeals for the Ninth Circuit (U.S.C.A. 86-1753) and that appeal remains pending at this time.

The parties to the lawsuit have reached mutual agreement that the terms of the Agreement described herein are an appropriate and acceptable final resolution of the merits of this litigation. Nothing in this agreement shall be construed as any admission of prior wrongdoing or liability on the part of any defendants.

In consideration for the Plaintiffs' voluntarily dismissal of the pending appeal, and the waiver of further hearings, trial findings, conclusions and rights of appeal on all issues in controversy, the Defendants agree to be bound by the terms of this agreement. The Defendants further agree that this Agreement shall bind their successors, officers, agents, servants, employees and all other persons acting in concert or participation with any of them, as of the date of this Agreement.

The parties hereby agree that, simultaneous with the signing of this Agreement, they shall move for the voluntary dismissal of the appeal currently pending. The parties hereby agree that the Defendants and their successors in interest shall by written policy adopt and implement within

30 days of the signing of this Agreement (to the extent not already adopted or implemented), and thereafter assure compliance with each and all of the obligations, practices, protocols and procedures referred to in this Agreement, and any additional practices, protocols or procedures necessary to the performance of the same.

# I. ATTORNEY ACCESS

A. Attorneys will be allowed to visit minors detained at KYF or Juvenile Hall without prior court approval.

B. At the time of the attorney's visit, he/she will be required to: present a valid State Bar of California card, a valid California driver's license or another form of identification, and to fill out and sign an attorney visitation request form indicating that he or she has received permission from the minor's parents to visit the minor or that he/she has not contacted the parents because to do so would violate the ethical obligations to protect the client's confidences. The attorney will also indicate on the form either that he/she is the attorney of record, that the minor has no attorney of record or that he/she has informed the attorney of record about the visit.

C. When the attorney completes the attorney visit

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request form, a staff member will call the minor. The minor will then be asked to sign the attorney visit request form agreeing to the visit. If the minor signs this form, the visit will take place.

- D. No further written or oral consent to visitation will be required.
- E. Attorneys who have a written release from either the minor's parent or the minor will be permitted to review the minor's records, including disciplinary and medical records, in Juvenile Hall and Kern Youth Facility. The facilities may refuse to permit review of confidential psychological and/or psychiatric records or reports or any other records or reports the facilities are specifically required by statute to keep confidential from a minor or minor's parent, except for any such records or reports which attorneys for a minor or minor's parent have a right to inspect under Welfare and Institutions Code § 827.

## II. MAIL POLICY

A. Minors may send and receive an unlimited amount of mail subject to the provisions of this policy. Postage for all privileged mail (as defined below) shall be paid by the Probation Department. With respect to all mail other than

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B. It is the general policy of the facility not to read a minor's incoming or outgoing mail. All mail, except privileged mail, may be routinely inspected to determine if it contains physical contraband. All inspection of mail shall be done by supervisory staff and shall be conducted in the minor's presence. Inspection of mail shall not result in the withholding of mail to or from a minor for a period in excess of 24 hours (excepting legal holidays and weekends).

C. Incoming and outgoing mail, other than privileged mail, shall not be read or censored unless there is reasonable cause to believe that one or more of the following conditions exist:

1. The mail contains plans in the direct furtherance of a specific criminal act, including specific acts of violence or physical harm to a person or persons or illegal gang activity.

2. The mail contains plans for escape.

3. The mail contains obscene material.

D. Privileged mail is defined as letters to and from a federal, state or local court, an attorney, or public

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- E. The facility may withhold or refuse to forward incoming or outgoing mail in the following circumstances:
- 1. The facility may refuse to send a minor's outgoing mail if the intended recipient has made a written request that mail not be sent from the minor.
- 2. The facility may disapprove, on an individual basis, mail to or from another correctional facility.
- 3. The minor's parent or guardian has requested in writing that the minor not send mail to, or receive mail from, a particular individual. This exception shall not apply to privileged mail.

Whenever mail is withheld or not sent pursuant to subsection E of the policy, the mail shall not be read.

F. Incoming mail may be temporarily withheld but not read, for a period not to exceed 24 hours (in addition to the time period in section B of this policy), whenever it is suspected that the contents of the mail may be disruptive to the treatment and guidance objectives of the facility. It is the intent of this provision to allow the facility sufficient time to arrange for special counselling or other

services which may be of benefit to the minor.

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G. Whenever incoming or outgoing mail is read or withheld pursuant to this policy, a record shall be kept of the action taken and the reason for it. The minor and/or the correspondent shall also be notified of the action taken and the reason for it. If the minor or other correspondent wishes to appeal the application of this policy, they may use the facility's existing grievance procedure.

The minor shall be advised of this mail policy

<u>Definition</u>. Involuntary removal of a juvenile

from contact with other juveniles by confinement in a room,

other than the juvenile's own room, when the room is locked

or the juvenile is otherwise restrained from leaving.

"room restriction" and is not included in the term

Confinement in the juvenile's own room is referred to as

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**ISOLATION** 

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B. <u>Procedure</u>. The use of isolation is a very serious measure to be used only under the following circumstances and conditions:

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2. <u>Isolation period</u>. The period of isolation shall be structured so that the juvenile can be placed in, or returned to, a group setting as soon as the objective of the isolation has been accomplished. When a juvenile is placed in isolation, the facility will immediately notify an on-duty staff mental health professional or nurse who will examine the juvenile as soon as possible. At the current time, the facility has on staff a qualified mental health professional eight hours a day for five days each week, and a trained nurse 12 hours a day for seven days each week. the event that a staff mental health professional or nurse is not on duty and the facility determines that a child should not be released from isolation after three hours, then the facility shall be required to consult with a psychiatrist, psychologist, psychiatric aide, or other mental health professional and appropriate treatment begun.

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Use of mechanical restraints. Juveniles in isolation shall not be placed in mechanical restraints except that mechanical restraints may be used for purposes of transporting the juvenile to or from isolation when the juvenile is out of control or as a temporary emergency measure when the minor is physically out of control. All restraints shall be removed immediately upon the minor regaining self-control. Except in transportation situations, restraints shall be used solely for the protection of the minor. Restraints shall never be used for punishment, discipline or treatment. If restraints must be used, the facility shall use only soft restraints, except in extraordinary situations where the child's symptoms dictate that some other form of restraint is necessary to protect the child from self-injury. Only staff that has been appropriately trained on the use of restraints shall be permitted to place a child in restraints. The use of restraints shall be limited to as short a time-period as possible. If, in unusual circumstances, it is necessary to keep a child in restraints more than 45 minutes, the facility shall consult with an appropriate mental health

care professional. The facility shall keep a record of each use of restraints and its duration.

- 5. Authorization of isolation. Isolation may be authorized only by the Administrator of the Facility, the Acting Administrator, or the officer in charge.

  Authorization shall be immediately obtained upon placement in isolation. When a determination is made to place a juvenile in isolation, the Administrator, Acting Administrator, or officer in charge, whichever the case may be, shall immediately record in writing the time, date, and reason for such determination.
- 6. Monitoring of detainees in isolation.

  Juveniles in isolation shall be checked by a staff member every fifteen minutes. A record of their condition shall be entered in the log maintained at the facility. Special attention shall be given to hygienic and physical or mental health needs of the juveniles. Trained staff shall be available to counsel juveniles throughout the period of isolation.

#### IV. ROOM RESTRICTION

# A. <u>Definition</u>.

1. Room restriction refers to separation of a juvenile from the other juveniles in his/her unit by confining the juvenile in his/her own room.

3. For the purposes of computing time on room restriction, regularly scheduled sleeping hours shall be excluded therefrom.

# B. <u>Limitations on sanctions</u>.

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- 1. In no instance shall room restriction involve the denial or reduction of the following basic rights: A place to sleep, e.g., a bed, a pillow, blankets and sheets; full meals; adequate and appropriate clean clothes; reading materials; health care services; personal hygiene items, e.g., toothpaste, soap, tooth-brush, comb, etc., and opportunities for personal hygiene including showers; at least an hour of large muscle exercise per day; education; sending or receiving mail; attending religious services; telephone contacts and visits with parents and attorneys. This provision does not prohibit the temporary denial of an activity or the removal of an object when it constitutes a danger to the safety of the minor or staff when the minor has persisted in destroying or attempting to destroy the object(s) or similar objects.
  - 2. The period of room restriction shall be

structured so that the juvenile can be placed in, or returned to, a group setting as soon as the objective of the room restriction has been accomplished. While on room restriction, a juvenile shall be allowed out of his/her room as much as possible during the period of room restriction, and every juvenile shall be allowed out of his/her room for a minimum of one hour during the period 8:00 a.m. to 8:00 p.m., except in those extraordinary circumstances where releasing the minor from his or her room would pose a danger to the minor or other persons. In general, no juvenile shall be placed on room restriction in excess of 48 hours, excluding sleeping hours. If, however, it appears that a juvenile will not be able to safely return to regular programming within this time period, the facility will contact an appropriate mental health professional as soon as this determination is made and, in no event, later than the expiration of the 48-hour time period (excluding sleeping hours). As soon thereafter as possible, the mental health professional and the facility staff will develop an appropriate written individualized treatment plan designed to reintegrate the juvenile into the regular program. A written record of this plan and the minor's performance pursuant to this plan will be maintained by the institution.

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3. Juveniles shall not be placed on room restriction or otherwise disciplined for behaviors that are beyond their control.

#### V. DISCIPLINE AND DISCIPLINARY PROCEDURES

A. <u>Development of Rules and Policies</u>. The facility shall maintain and follow a comprehensive set of rules of conduct and policies, violation or application of which may result in the imposition of one or more program sanctions. These sanctions shall be clearly and specifically set out in these rules.

B. Notice of Rules and Polices. At the time of admission to the facility, each juvenile shall be provided with an individual written copy of all rules of conduct and policies of the facility, violations or applications of which may result in one or more program sanctions.

Juveniles who cannot read or who have difficulty reading shall be provided with an oral explanation of these rules and policies.

Copies of written rules and procedures shall be prominently posted throughout the facility in locations reasonably accessible to viewing by all juveniles.

In addition to the above provisions, staff of the facility shall respond to any request by a juvenile for information concerning these rules and procedures.

C. Any juvenile who is subject to punishment which

affects the length of time that the juvenile will spend in either the juvenile detention facility or in KYF shall be entitled to the following procedural rights.

- 1. A written notice of the charges against the juvenile.
- 2. A hearing before a neutral fact-finder. The neutral fact-finder shall not be a staff member who imposed the punishment or that staff member's direct supervisor. At this hearing, the juvenile shall be entitled to present his/her explanation of events and to call witnesses in support of his position. This hearing shall take place before the penalty is imposed and within 72 hours of the incident which gives rise to the penalty.
- 3. The juvenile shall have the opportunity to appeal the decision of the neutral fact-finder to the administrator of the facility.

# VI. MONITORING

For a period of one year following the entry of this agreement, the defendants agree to provide plaintiffs counsel with information regarding compliance with this agreement. Particularly, defendants agree to provide quarterly summaries regarding:

- 1. The numbers of juvenile placed in isolation and the duration of the isolation;
  - 2. The numbers of juveniles in isolation placed

in mechanical restraints and the duration of the use of restraints;

3. The numbers of juveniles kept on room restriction in excess of 48 hours (excluding sleep time).

Plaintiffs' counsel agree to promptly notify defendants' counsel if, in the judgment of plaintiffs' counsel, information contained in these summaries or other information indicates that defendants' practices or policies may be inconsistent with the terms of this agreement. Upon a satisfactory showing from plaintiffs, defendants agree to provided appropriate additional documentation to demonstrate that defendants are in compliance with the terms of this agreement.

## VII. COMPLIANCE AND ENFORCEMENT

Should any dispute arise as to the interpretation or application of, or any party's compliance with, this Agreement, the parties shall first attempt to resolve the dispute among themselves. In the event that they are unsuccessful, counsel for the plaintiffs or any juvenile aggrieved by a violation of this Agreement, may enforce this Agreement in the state courts of California. For purposes of initiating or participating in any action seeking enforcement or interpretation of this Agreement, a juvenile confined at Juvenile Hall or KYF is authorized to proceed as a third party beneficiary. The parties agree that this

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Agreement imposes mandatory duties on the part of the Defendants which may be enforced by a writ of mandate under California Civil Code Sections 1084 et seq. If a court finds the Defendants to be in violation of this Agreement, the court may enter an order mandating compliance with its terms.

In the event that any individuals, other than the Defendants, prevail in litigation regarding the enforcement or interpretation of this Agreement, they shall be awarded a reasonable amount for attorneys' fees and expenses. This provision shall not preclude defendants from obtaining attorneys' fees and costs if a court determines that an action brought against them was frivolous or in bad faith. Any attoneys' fees awards shall be determined by the court in which such litigation is pending in accord with the same standards and principles governing the award of fees and expenses under 42 U.S.C. Section 1988.

This Agreement resolves all of the outstanding issues before the Court in Steven L. v. Kern County and contains the entire agreement between the parties with the exception of an outstanding claim for payment of attorneys fees and expenses for the work of plaintiffs' counsel on the lawsuit. This Agreement does not constitute a waiver of this claim.

NOW THEREFORE, in mutual consideration of all of the foregoing terms and conditions the parties enter into this Agreement.

Dated: March 7, 1991

Loren M. Warboys
Carole B. Shauffer
Mark I. Soler
Youth Law Center

Roy Malahowski

Greater Bakersfield Legal

Assistance, Inc.

Attorness for Plaintists

Thomas V. Denatale, Jr. Klein, Wegis & Duggan

Attorneys for Defendants